AGENDA

- Welcome and Introductions
- Pre Award Update
- Post Award Update
- Budget & Cost Analysis Update
- Technology Update
Welcoming Remarks

Introduction of Division of Research Team

Introduction of New Attendees

Future Research Administrators Quarterly Meetings for 2011
  - January 18, 2011
  - April 26, 2011
  - July 26, 2011
  - October 25, 2011

Slides will be posted on the Division of Research website after this meeting
Division of Research
*Pre Award Update*

- **Updated Forms**
  - Revised Division of Research Agreement Request Form and Non-Disclosure Agreement Template
  - Division of Research Agreement Request Form revised to incorporate clarifications regarding the use of Confidentiality Agreements (CA) that the Division of Research is authorized to execute.
  - Division of Research Non-Disclosure Agreement Template was updated to indicate that the term of the agreement is for one year from the last date signed by all parties
  - Expanded Internal Budget Sheet to include up to 10 senior personnel
  - All of these revised documents are available in the forms section of the Division of Research website at [http://osra.fiu.edu/forms.htm#internal](http://osra.fiu.edu/forms.htm#internal)

- **Federal Funding Accountability and Transparency Act (FFATA)**
  - Effective for all new federal awards awarded after October 1, 2010 that are $25,000 or more
  - Will need to report all first tier subawards and executive compensation information under those awards.
  - Subawardees will need to provide data elements in order for DoR to be able to execute subawards.
Division of Research

Pre Award Update

- Federal Funding Accountability and Transparency Act (FFATA) - (continued)
  - Division of Research will collect the data elements via a questionnaire done prior to the execution of a subaward or by using the FDP subaward template as deemed appropriate.
  - Federal regulations will require all subawardees to have a DUNS number, therefore Division of Research will not be able to execute a subaward unless the subawardee has a DUNS number.
  - Reporting will be done by Division of Research.

- NIH Updates
  - New Time Limit for NIH Resubmission Applications
    - The NIH will not accept a Resubmission that is submitted later than thirty-seven months after the date of receipt ("receipt date") of the initial New, Renewal, or Revision application.
    - This limit will go into effect for Resubmissions intended for the January 25, 2011 receipt date and thereafter. This policy applies to all NIH mechanisms.
NIH Updates - (continued)

- Transition to New Grants.gov Forms (ADOBE-FORMS-B1)
  - The NIH has announced that it will transition to new grants.gov forms (ADOBE-FORMS-B1) in 2011.
  - Applicants submitting for the following NIH programs must use the ADOBE-FORMS-B1 packages for deadlines on or after January 25, 2011: Individual Research Career Development Award Programs (Ks), Institutional Training and Career Development Programs (Ts and Ds), or Individual National Research Service Awards (Fs).
  - All other NIH programs will require the new forms for deadlines on or after May 7, 2011.

- NIH will eliminate the error correction window from the application submission process with due dates on or after January 25, 2011.
  - The Division of Research is strongly advising applicants to have their applications finalized for submission by Division of Research at least two business days in advance of the due date to take advantage of the opportunity to correct errors and warnings and to review the application in the eRA Commons before the deadline.
NIH Updates - (continued)

NIH Updates to the Terms of Award
- All entities need to have an active DUNS number and CCR registration in order to receive funds from the NIH.
- All first tier subawardees must have an active DUNS number in order to receive a subaward from FIU.
- Terms and conditions have been updated to include FFATA requirements

NIH awards during the Continuing Resolution (CR) period
- The NIH continues to operate on a continuing resolution at 2010 enacted levels through December 3, 2010.
- Until the final FY 2011 appropriation is enacted, NIH will issue non-competing research grant awards at a level below that indicated on the most recent Notice of Award (generally up to 90% of the previously committed level).
- NIH will consider upward adjustments to these levels after the final appropriation is enacted.
NSF Updates

The National Science Foundation has revised their Grant Terms and Conditions (GC-1) and the Proposal Award Policies and Procedures Guide (PAPPG).

- Grant Terms and Conditions (GC-1)- effective October 1, 2010
- Article 18 (Responsible Conduct of Research), which applies to new proposals submitted or due on or after January 4, 2010;
- Article 19 (Reporting Subaward and Executive Compensation), which applies to new grants of $25,000 or more awarded on or after October 1, 2010; and
- Article 20 (Central Contractor Registration (CCR) and Universal Identifier (DUNS) Requirements), which applies to new grants awarded on or after October 1, 2010.

NSF has made these changes to implement the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) requirements and the use of Universal Identifier (DUNS) and Central Contractor Registration (CCR).
NSF Updates - continued

Proposal and Award Policies & Procedures Guide (PAPPG) – effective January 18, 2011

Chapter II.C.2.j, Special Information and Supplementary Documentation, contains a clarification of NSF’s long standing data policy. All proposals must describe plans for data management and sharing of the products of research, or assert the absence of the need for such plans. Fastlane will not permit submission of a proposal that is missing the Data Management Plan. The Data Management Plan will be reviewed as part of the intellectual merit or broader impacts of the proposal, or both, as appropriate.

Chapter II.C.2.b, Project Summary, has been updated to encourage use of separate headings for the merit review criteria in the one page Project Summary.
NSF Updates - continued

- Proposal and Award Policies & Procedures Guide (PAPPG) – effective January 18, 2011
  - Chapter II.C.2.g(xi), Cost Sharing, has been revised to implement the National Science Board’s recommendations regarding cost sharing. **Inclusion of voluntary committed cost sharing is prohibited.** In order to assess the scope of the project, all organizational resources necessary for the project must be described in the Facilities, Equipment and Other Resources section of the proposal. The description should be narrative in nature and must not include any quantifiable financial information. Mandatory cost sharing will only be required when explicitly authorized by the NSF Director.
- FIU Research Administration Certificate (FIU-RAC)
  - The Division of Research is putting together a new FIU Research Administration Certificate program that will cover research administration at FIU from cradle to grave.
  - There will be two 13 (approximately) week sessions in 2011. Session A will commence in late January and session B will commence in early September. Each session will meet on a weekly basis for 1.5 hours.
  - Sessions will be interactive and include real life examples as well as the use of the Moodle online learning tool.
  - All sessions will qualify for professional development credit hours.
  - At the conclusion of the session (if all sessions were attended) a FIU-RAC certificate will be presented.

- Topics to be covered in the FIU-RAC sessions will include:
  - Proposal preparation
    - Introduction to Division of Research
    - Proposal Preparation and Submission
    - Limited Submissions
    - Budget Preparation
Topics to be covered in the FIU-RAC sessions will include – (continued):

Proposal preparation (continued):
- Cost Sharing
- Extra State Compensation
- Direct Charge Exemptions
- Foreign Projects
- Nepotism in Research
- Processing of non-Award Sponsored Research Agreements

Award Administration
- Award Processing
- Managing Grants in PeopleSoft
- Allowable/Unallowable Costs
- Salary Cap Analysis
- Cost Approvals
- Travel on Sponsored Projects
- Purchasing in Sponsored Projects
- Participant Payments
- Fellowships/Stipends/Tuition/ Scholarships
Topics to be covered in the FIU-RAC sessions will include –(continued):
- Award Administration (continued):
  - Payroll and Non-Payroll Transfers
  - Budget Modifications
  - Budget Exceptions
  - No Cost Extensions
  - Program Income
  - Effort Reporting
  - Special Conditions
  - Sponsor Invoicing
  - Progress/Technical Reports
  - Tracking Cost Sharing
  - Project Closeout
- Intellectual Property
- Research Compliance
  - Human Subjects Research (IRB)
  - Animal Research (IACUC)
Topics to be covered in the FIU-RAC sessions will include – (continued):

- Research Compliance (continued):
  - Animal Care Facility
  - Recombinant DNA Research (IBC)
  - Conflict of Interest in Research
  - Ethics and Awareness of FIU’s Code of Conduct
  - Responsible Conduct of Research
  - Research Misconduct
  - Export Controls
Division of Research
Post Award Update

- New Travel Authorization Requirements from OGC for Cuba, Iran, Sudan, and Syria
- Updated Division of Research Policies and Procedures
- Payroll Encumbrances on Project IDs
Staff Introductions

Balance Inquiries – run XA and Trial Balance Reports for C&G dept ids

Grant Managers in all colleges/depts/centers should be on the Finance Manager’s Distribution List, please contact OFP x72790

Spring 2010 Certifications are in process – Deadline Friday 11/5/10
FIU Research Website

- Go-live date is Wednesday, November 3rd. The address will be the following:

  http://research.fiu.edu

- Over past month website has been updated to further leverage FIU branding and style

- Email address has changed to research@fiu.edu instead of osra@fiu.edu

- What will the new world look like?
- **FIU Research Clearance Initiative**
  - **Project Goals**
    - Deliver online internal clearance functionality to FIU’s research community.
    - Enable investigators to focus more on research and less on research administration.
  - **Project Background**
    - Current internal clearance process is a manual, paper-based process.
    - FIU’s research community has been asking for a transparent, electronic research approval process.
    - This project was initiated to deliver an online research clearance solution.
FIU Research Clearance Initiative - What will the new world look like?

Proposal Information Section
- **FIU Research Clearance Initiative** - What will the new world look like?
  - Sponsor Information Section

![Sponsor Information](image-url)
- **FIU Research Clearance Initiative** - What will the new world look like?
  - Proposal Contact Information Section
### FIU Research Clearance Initiative

#### Project Timeline

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FIU Research Clearance Initiative

Project Status

Key Current Activities
- Core business process, design and development activities.
- Test preparation.
- Deployment and change management planning.

Key Activities for November
- Departmental Admin focus group sessions.
- Hiring of 5-6 research support staff.
- Test execution.
- Conversion activities.
- Further communications and change management activities.
FIU Research Clearance Initiative

Deployment Overview

- Phased deployment approach.
- Full deployment expected to take four-to-six months.
- SEAS/BBC will be first to go live (Jan/2011).

Deployment Criteria

- Technical/infrastructure readiness.
- Division of Research departmental support infrastructure.
- Division of Research training.
- Departmental training.
- Proper communication to all stakeholders impacted.
Effort Reporting Project - Ecrt

Project Background

- FIU currently uses a home-grown effort reporting solution.
- RFP purchasing process completed and Huron eCert software selected.
### Effort Reporting Project - Ecrt

#### Project Status

#### Key Activities from October

- Completed implementation assessment activities.
  - Meeting with core HR project team.
  - Meetings to understand and assess FIU current state.
  - Recommendation to proceed with further planning/design implementation activities concurrent with existing FIU initiatives.
- Completed installation of Ecrt sandbox at FIU.
- Initial data mapping exercises conducted.
Division of Research
Technology Update – FIU Research Clearance Initiative

- Effort Reporting Project - Ecrt
  - Project Status
  - Key Activities for November
    - Continue data mapping exercises for both ADP data sources and future PeopleSoft HR system.
    - Continue Effort Reporting business process analysis.
    - Load manually FIU data into Ecrt to assess configuration options and facilitate knowledge transfer.
    - Meetings with related HR & OPIE departments.
• Effort Reporting Project – Ecrt – What will the new world look like?
  • The Ecrt ‘Effort Lifecycle’ Home Page
Effort Reporting Project – Ecrt – What will the new world look like?

The Ecrt Sample Effort Card
- **Effort Reporting Project – Ecrt** – What will the new world look like?
  - The Ecrt Effort ‘Dashboard’
### New Department Research Summary Report

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Questions?