



Division of Research
Quarterly Research Administration Meeting



May 21, 2013



Division of Research
Quarterly Research Administration Meeting

AGENDA



- **Welcome and Introductions**
- **Pre Award Update**
- **Post Award Update**
- **Budget & Cost Analysis Update**
- **HR Update**
- **Technology Update**
- **ORI Update**

Division of Research
Vice President's Office Update

- **Welcoming Remarks**
- **Introduction of Division of Research Team**
- **Introduction of New Research Administration Attendees**
- **Change in Time and Location for October Quarterly Research Administrators Meeting**
 - October 29, 2013
 - 9:30 am – 11:30 am
 - GC 150
- **Slides will be posted on the Division of Research website after meeting**

Division of Research *Pre Award Update*

- New Pre-Award Personnel
 - Welcome new ePRAFers!
 - Eldred Fourie → CEC (Starting in July)
 - Jessica Gomez → SEAS BBC (Starting in Fall)
- Fringe Benefits Changes
 - The University has revised the fringe benefit rates for the 2013-2014 fiscal year, therefore the fringe benefit rates used at proposal stage have been revised as follows:

Employee Group	Pooled Fringe Benefit Rate
Non-COM Faculty / All Administrative (formerly A&P)	29.27%
COM 12 Month Faculty (pending DHHS approval)	19.51%
Staff (formerly USPS)	40.54%
Other OPS and Temporary Faculty (except students)	2.43%
Student OPS	0.47%

Division of Research *Pre Award Update*

- Fringe Benefit Changes -Continued
 - The internal budget sheet used at proposal stage will be revised to reflect these changes in the fringe benefit rates. A COM specific budget sheet will be created.
 - The revised rates will become effective for all proposals being submitted on or after June 3rd, 2013 and will be charged to all active awards as of July 1st, 2013.
- New / Updated Forms
 - Change of PI Request Form
<http://research.fiu.edu/forms/award-management/change-PI-2013.pdf>
- Authorized Signatures
 - A reminder that the following forms need to be signed by the Dean or the Associate Dean for Research for Academic Units and the Unit VP or Associate VP for non Academic Units:

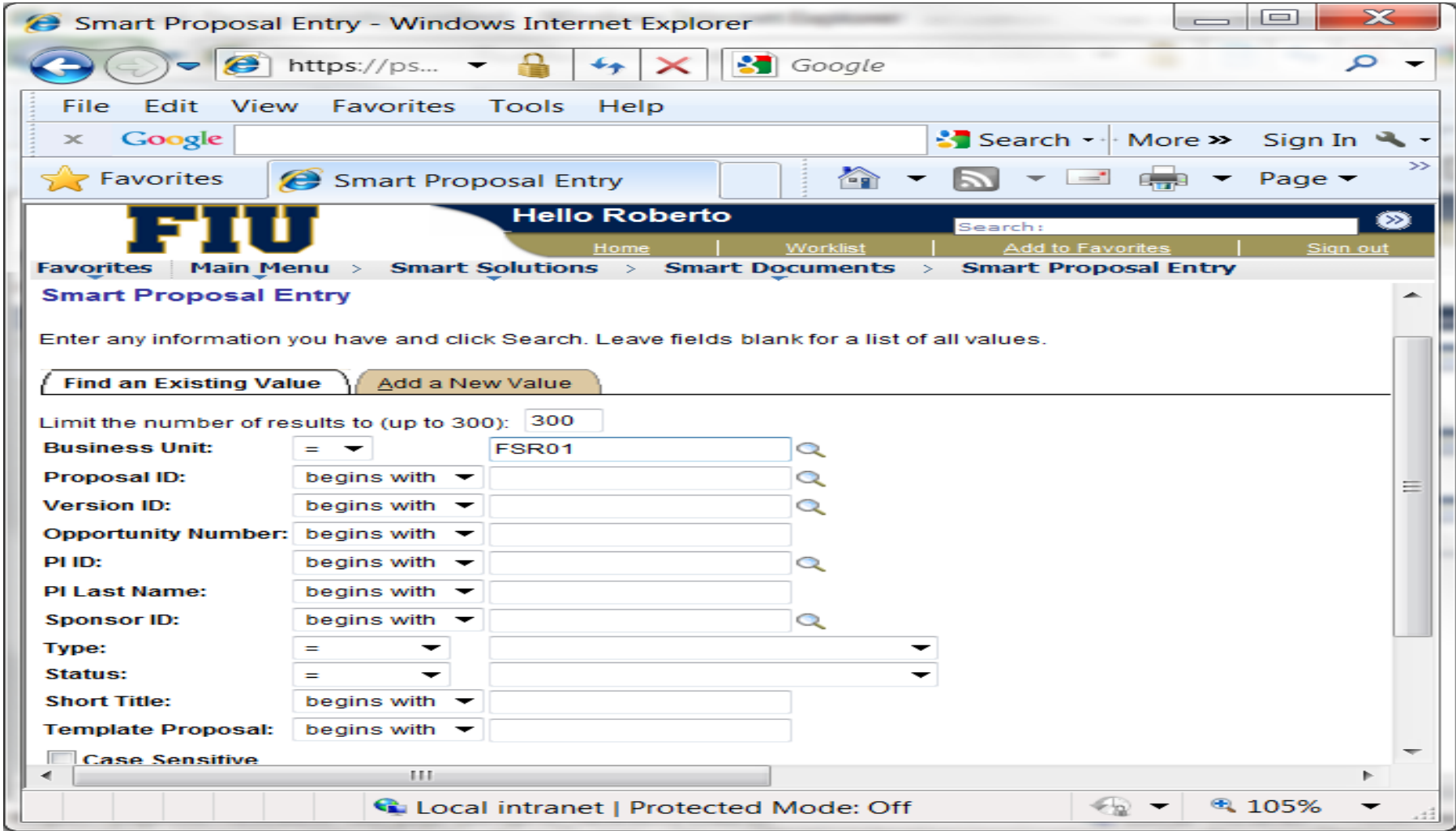
Division of Research *Pre Award Update*

- Authorized Signatures -Continued
 - Pre-Award Request Forms
 - Cost Share Forms
 - End Date Change at Risk

- If these forms will be signed by any other individuals then the Division of Research will need to have on file a delegation of authority from the College Dean or Unit VP authorizing other individuals to sign on behalf of their unit.

- ePRAF Search Enhancements
 - The Division of Research added an additional search functionality to the ePRAF screen. You can now search by PI last name without having to type in the PI's Panther ID

Division of Research *Pre Award Update*



Division of Research *Pre Award Update*

- NIH Update
 - Public Access Policy
 - As was announced in January's meeting the National Institutes of Health (NIH) announced earlier this year that it will delay processing of noncompeting continuation awards starting this Spring if the investigator(s)' publications arising from that award are not in compliance with the NIH public access policy.
 - Tips for compliance:
 - Use MyNCBI to log all publications resulting from NIH-funded grants.
 - MyNCBI is available at www.ncbi.nlm.nih.gov/myncbi/ and uses the same credentials as eRA Commons.

Division of Research *Pre Award Update*

- NIH Public Access - Continued
 - Double check that publications are listed in MyNCBI now. Do not wait until shortly before your progress report is due. Maintaining publications in MyNCBI should become an ongoing part of PIs regular workflow.
 - When writing your progress report, include only those publications that resulted from the grant about which you are reporting progress.
- NIH eSNAP → RPPR Reminder
 - As announced in the January QRAM we are now required to use the Research Performance Progress Report (RPPR) format for submitting Streamlined Noncompeting Award Process (SNAP) and Fellowship progress reports for awards with start dates on or after July 1, 2013. (i.e., progress report due dates on or after May 15, 2013.)

Division of Research *Pre Award Update*

- NIH Fiscal Policy for FY 2013
 - Non-Competing Continuations have been awarded at 90% of the previously committed level. Reductions may be restored but are not expected at the committed level. Pending continuations will also be released at levels below the previous NoA.
 - Inflationary increases for future year commitments will continue to be frozen but should continue to be budgeted in applications
 - Salary cap remains at \$179,900 for 12 month faculty and \$134,259
 - Additional details at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-064.html>

Division of Research *Pre Award Update*

▪ Faculty Burden

- The National Science Board (NSB) has issued a request for information (RFI) for PIs with Federally funded research activity to identify those Federal policies and institutional requirements that increase an investigator's administrative workload.
- The Federation of American Societies for Experimental Biology (FASEB) has developed a short online survey to collect feedback from the research community on how administrative burden affects investigators in order to forward to the NSB in response to this RFI.
- The survey is available at <http://capwiz.com/faseb/issues/alert/?alertid=62577686>.

Division of Research *Pre Award Update*

- Faculty Burden Survey – Continued
 - Results will be forwarded to the NSB. All federally funded PIs are encouraged to respond. The RFI is open for comments through May 24, 2013.
 - Background on Faculty Burden Survey is available at:
http://sites.nationalacademies.org/PGA/fdp/PGA_055749.

Division of Research

Budget & Cost Analysis Update

- **INTRODUCTION OF BUDGET & COST ANALYSIS PERSONNEL**
 - DAVID SNIDER - Assistant Director Research Budgeting - Faculty Merit Bonus, special projects, databases ...
 - SARA ABRAHAM - F&A return processing, coordinates exception resolutions ...
 - DESIREE MESA - Effort reporting contact, DoR pcard approver...
 - ALEJANDRA SALAS - Recharge center contact, recharge center rates & budgets ...
 - TBA - Coordinator, Research Budget - vacant and being recruited
- **EFFORT REPORTING**
 - Use this time in-between certification periods to check effort cards and resolve any issues before the time sensitive certification period starts.
 - Effort cards can be monitored year round, so effort cards can be reviewed and questions/issues addressed any time during the semester. You don't have to wait until the pre-review period.
- **RECHARGE CENTERS**
 - All recharge centers will be reviewed in detail, you will be contacted to setup or update rates, and annual reviews will begin as appropriate
- **YEAR-END DEADLINES**
 - Review Controller's document: <http://finance.fiu.edu/controller/FYECalendar.html>
- **COST PIDS**
 - We are working on options to better manage activity numbers & cost PIDs in funds 651/652....

CONTACT INFORMATION:

EFFORT REPORTING ecrt@fiu.edu

RECHARGE CENTERS recharge@fiu.edu

F&A f&a@fiu.edu

Division of Research *Technology Update*

Monthly Batch Financial Reports

- Division of Research will be publishing monthly Grant Financial reports from PantherSoft to FIU Research Reporting Intranet site. URL will be announced at a later date.
- Anticipated initial rollout once Fiscal Year is closed.
- Currently testing security and soliciting feedback from a few pilot areas across university.

FIU Research Intranet Reports Home

Reports

- Executive Reports by University Area
- Project Financial Reports by PI
- Recycle Bin
- All Site Content

Welcome to the Division of Research Reporting Intranet Site

This site contains monthly research reports by Principal Investigator & Project.

Project Financial Reports by PI

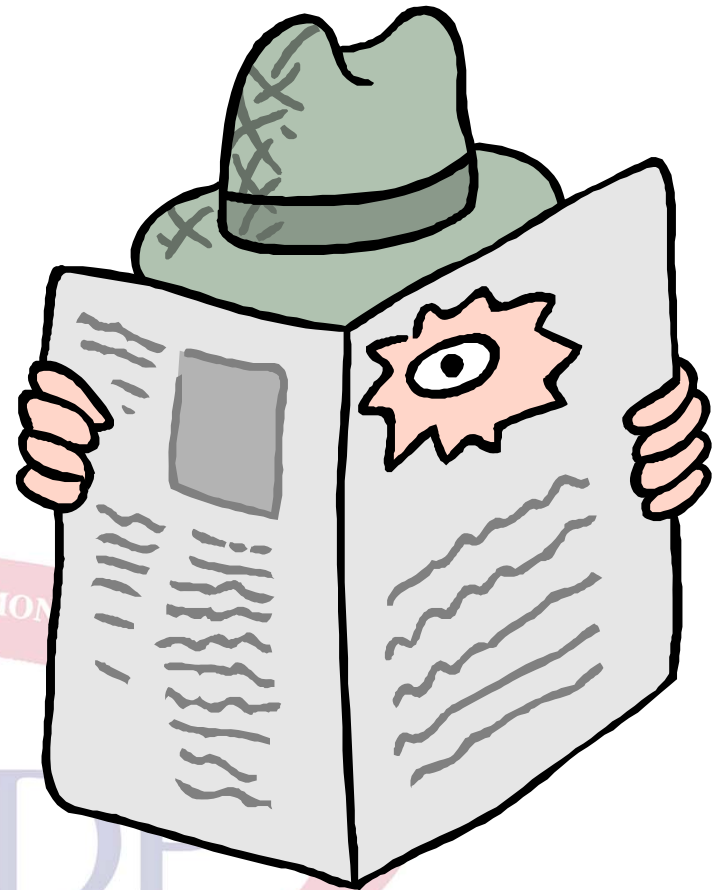
Account	Budget	Actuals	Encumbrances	Balance
Salaries & Wages	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Program Expenses	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Total Salaries & Wages, Temporary Employment, Fringe	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Travel	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Materials, Supplies and Custom	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Subscriptions	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Professional Fees	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Other Operating Expenses and Materials & Supplies	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Other Operating Expenses	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Equipment and Other Capital Outlay	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Total Operating Expenses	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Unallocated Costs	1,277,800.00	1,277,800.00	1,277,800.00	0.00
Total Available & Unallocated Costs	1,277,800.00	1,277,800.00	1,277,800.00	0.00

- **Chartfield Changes for F&A Returns, Residuals, and Commitments**
 - Division of Research is assessing the current Chartfield structure in Financials system for F&A Returns, Residuals, and Commitments (funds 651/652).
 - Current structure of Activity/Cost PID has many limitations from a business process standpoint including reporting & activation/inactivation of Activity #'s.
 - Proposed structure being tested is a Project ID based solution.
 - Deployment dates still to be determined. It is anticipated that the deployment will be staged gradually across the University in order to facilitate manual conversion activities.
 - Additional communications will be provided as project progresses.

Division of Research
OMB A-81 Update

- **OMB A-81 Update**
 - Proposed guidance will supersede and streamline requirements from eight OMB circulars including A-21, A-110 and A-133
 - Known as A-81 or the Omni-Circular
 - Most likely to go into effect in December 2013
 - Federal Demonstration Partnership (FDP) and Council on Governmental Relations (COGR) have prepared slides that provide impacts to Researchers and Research Administrators.

**WHAT CHANGES
MIGHT
RESEARCHERS*
CARE ABOUT?**

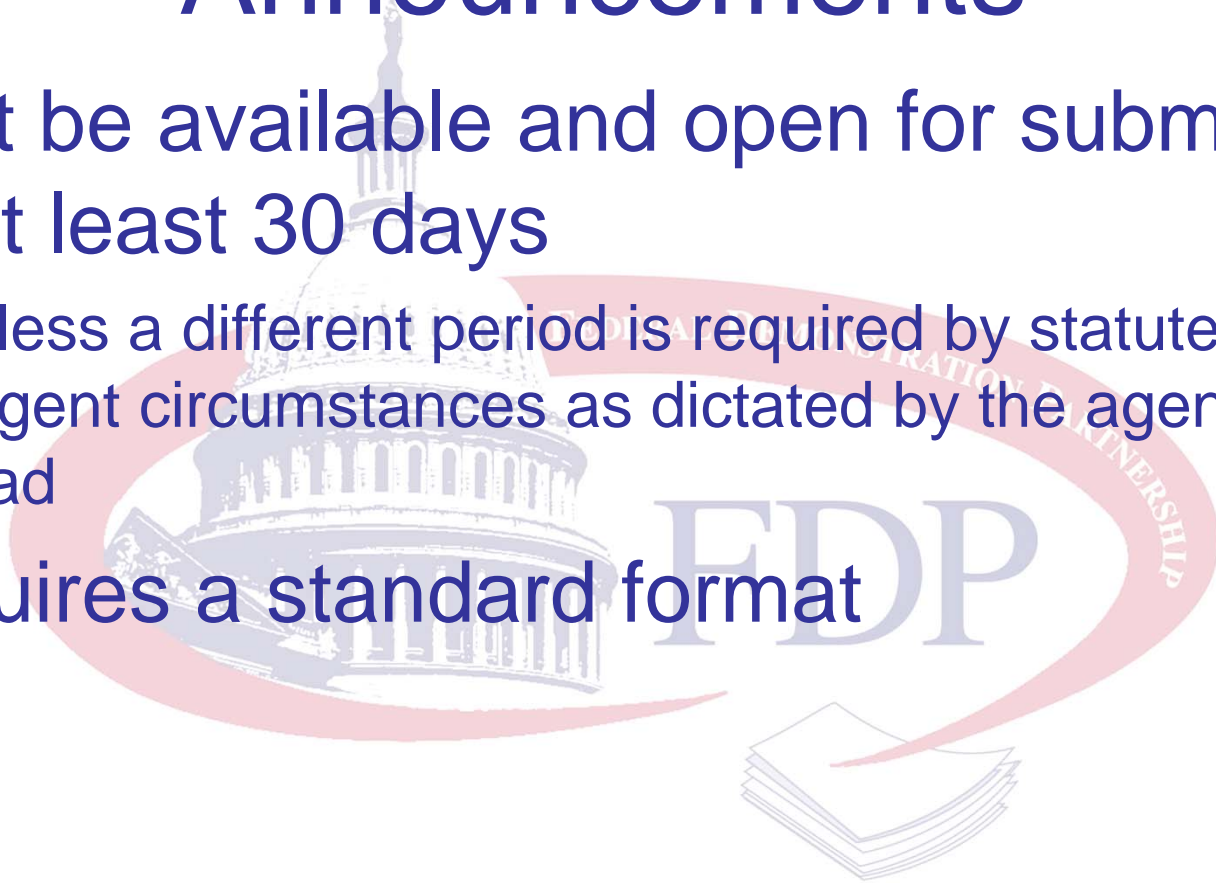


***and Research Administrators too!**

Funding Opportunity Announcements

Generally
Positive

- Must be available and open for submission for at least 30 days
 - Unless a different period is required by statute or exigent circumstances as dictated by the agency head
- Requires a standard format



Award Notices

Positive

- Create a unique, government-wide identifier number for each award
- Terms and conditions are spelled out



Cost-Sharing

Positive

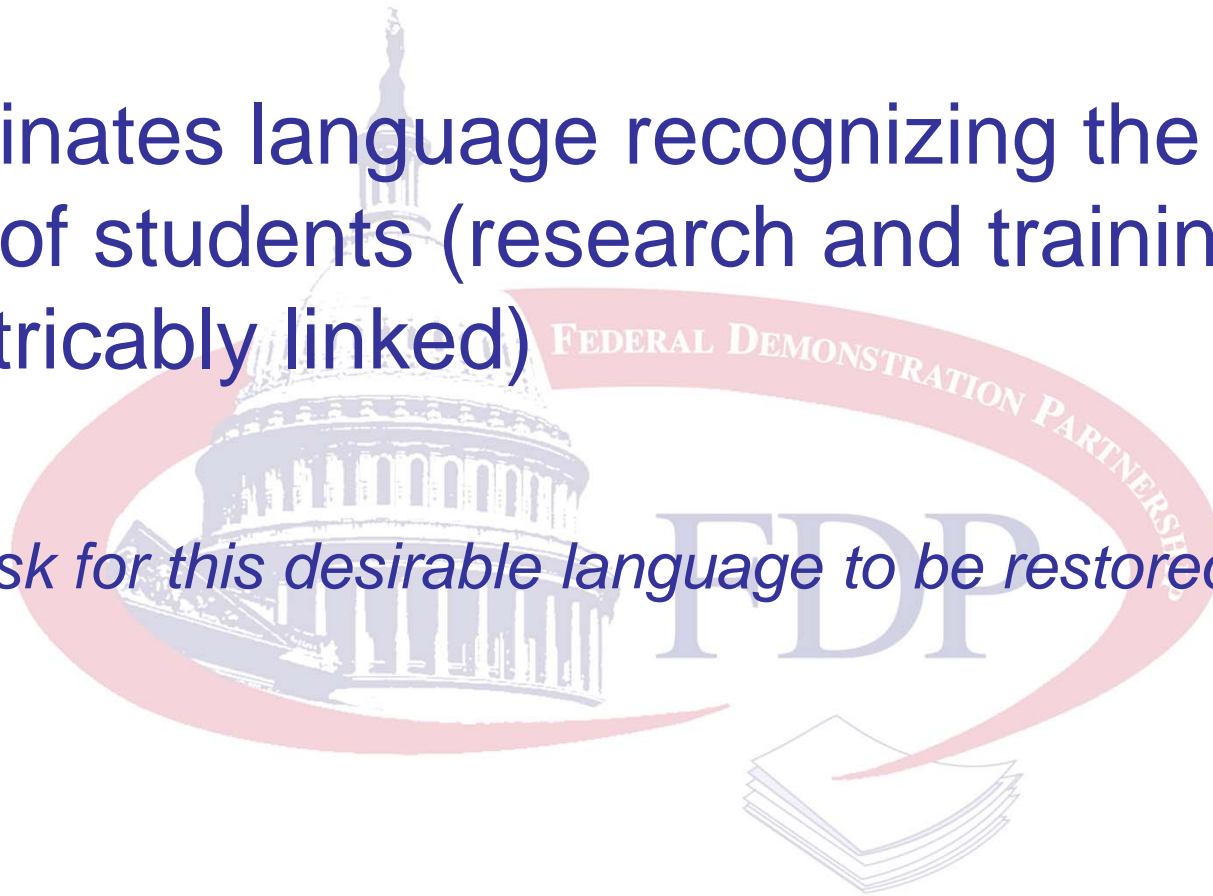
- Voluntary Committed Cost-Sharing is not expected and is not to be used as a factor in the review of applications.



Role of Students

Negative

- Eliminates language recognizing the dual role of students (research and training is inextricably linked)
- *Will ask for this desirable language to be restored.*



F&A Rates

Impact
Uncertain

- Deviations from federally negotiated rates only allowed when
 - Exceptions are provided in statute or regulation
 - Agency Head has approved a deviation
 - OMB is notified



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Progress/Financial Reporting

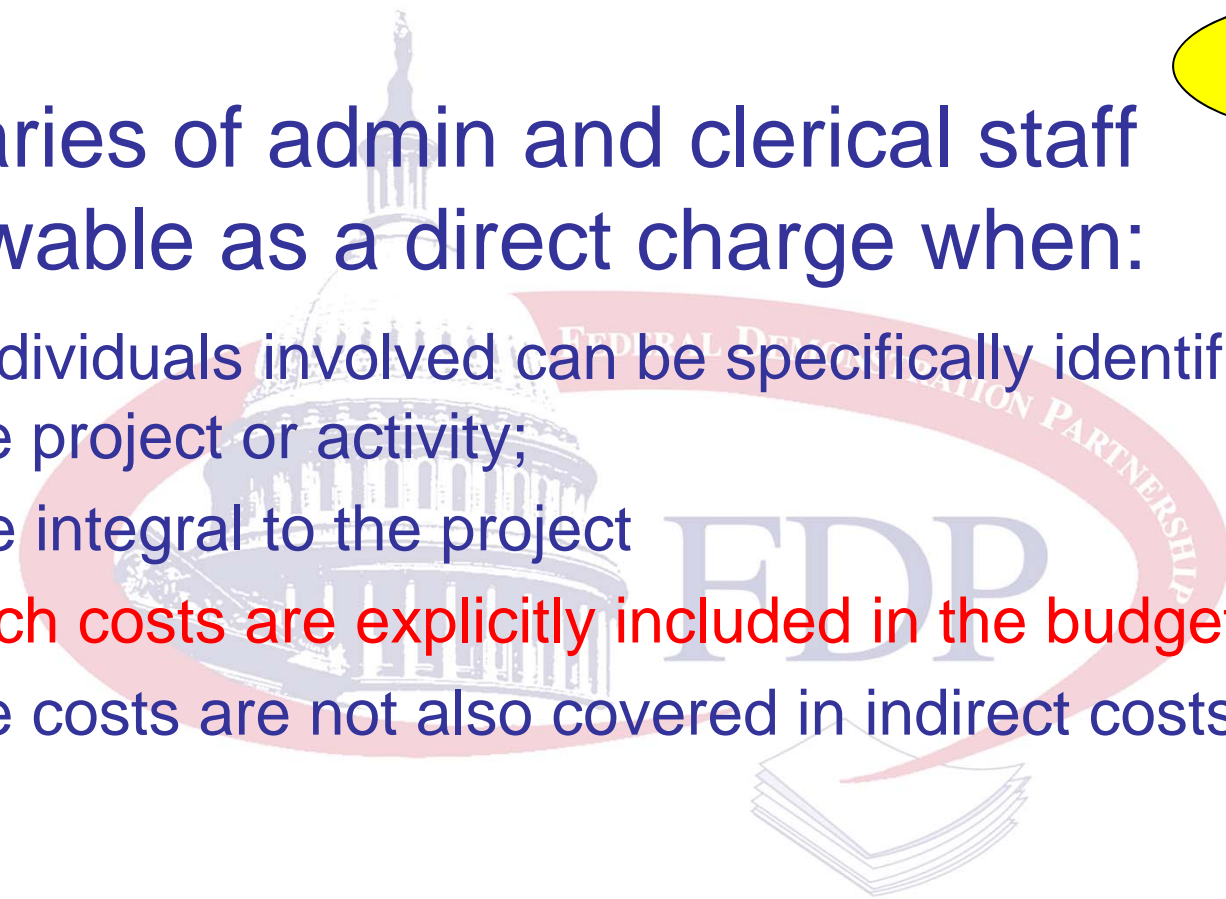
Negative

- New requirement to relate financial data to performance accomplishments whenever practicable (including unit cost data)
 - Agencies should provide clear performance goals, indicators, and milestones expected
- *May lead to new reporting burdens and financial accountability measures*

Admin and Clerical Costs

Mixed

- Salaries of admin and clerical staff allowable as a direct charge when:
 - individuals involved can be specifically identified with the project or activity;
 - are integral to the project
 - such costs are explicitly included in the budget;
 - the costs are not also covered in indirect costs



Project Management Costs

Positive

- Charges to federal awards may include ... developing and maintaining protocols (humans, animals, etc.), managing substances/chemicals, managing and securing project-specific data ..



Mixed

Salary/Effort Reporting

Elimination of examples of acceptable effort reporting systems allows room for other models as does possibility that reports can be integrated with a payroll system

Allows “responsible person” to certify

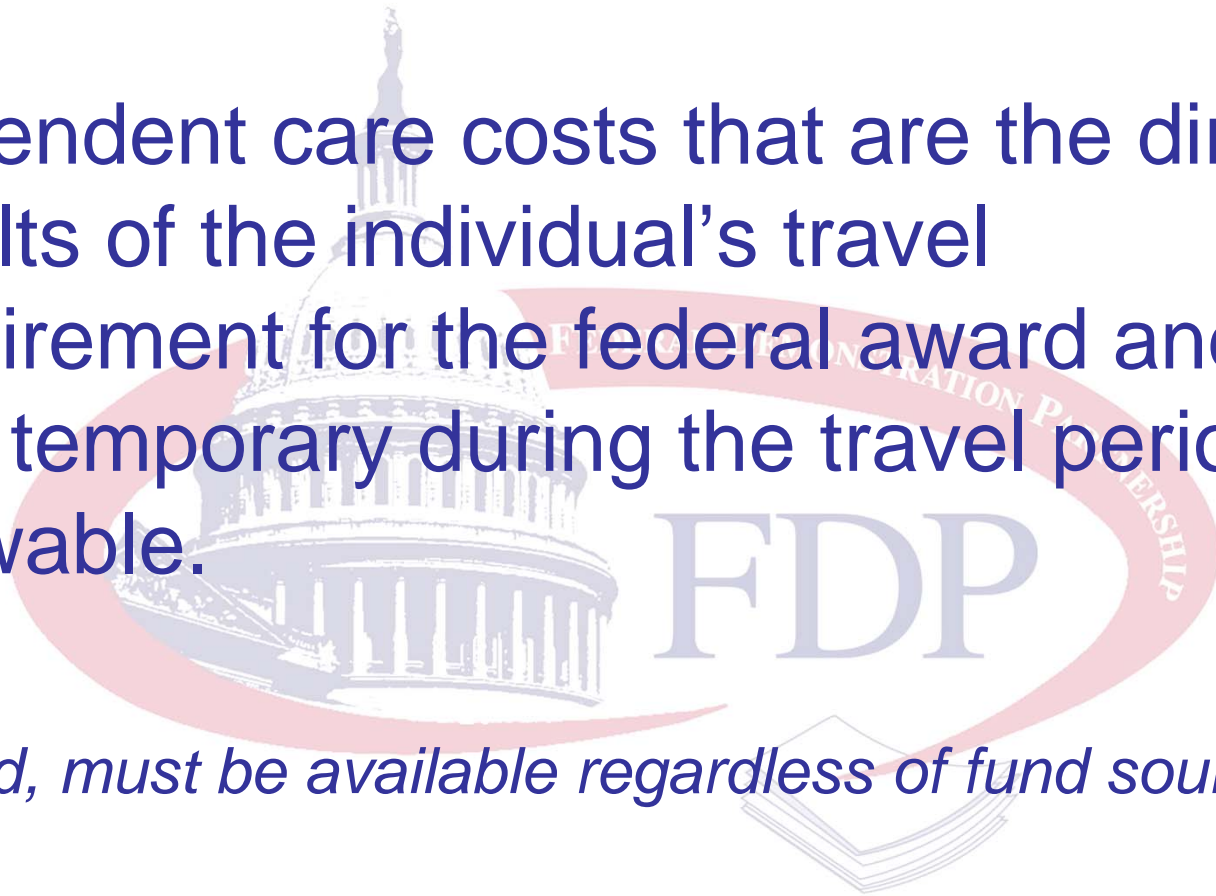
Mixed

Salary/Effort Reporting

- Requires consistent definition of a full-time workload in order to qualify for extra service pay
 - Must apply to all employees in a given class (not just federally-funded employees.)
 - Supplementation amount is commensurate with the base pay rate and amount of additional work performed
- Inserts new obligation to review budget estimate quarterly

Dependent Care during Travel

- Dependent care costs that are the direct results of the individual's travel requirement for the federal award and are only temporary during the travel period are allowable.
- *If used, must be available regardless of fund source*

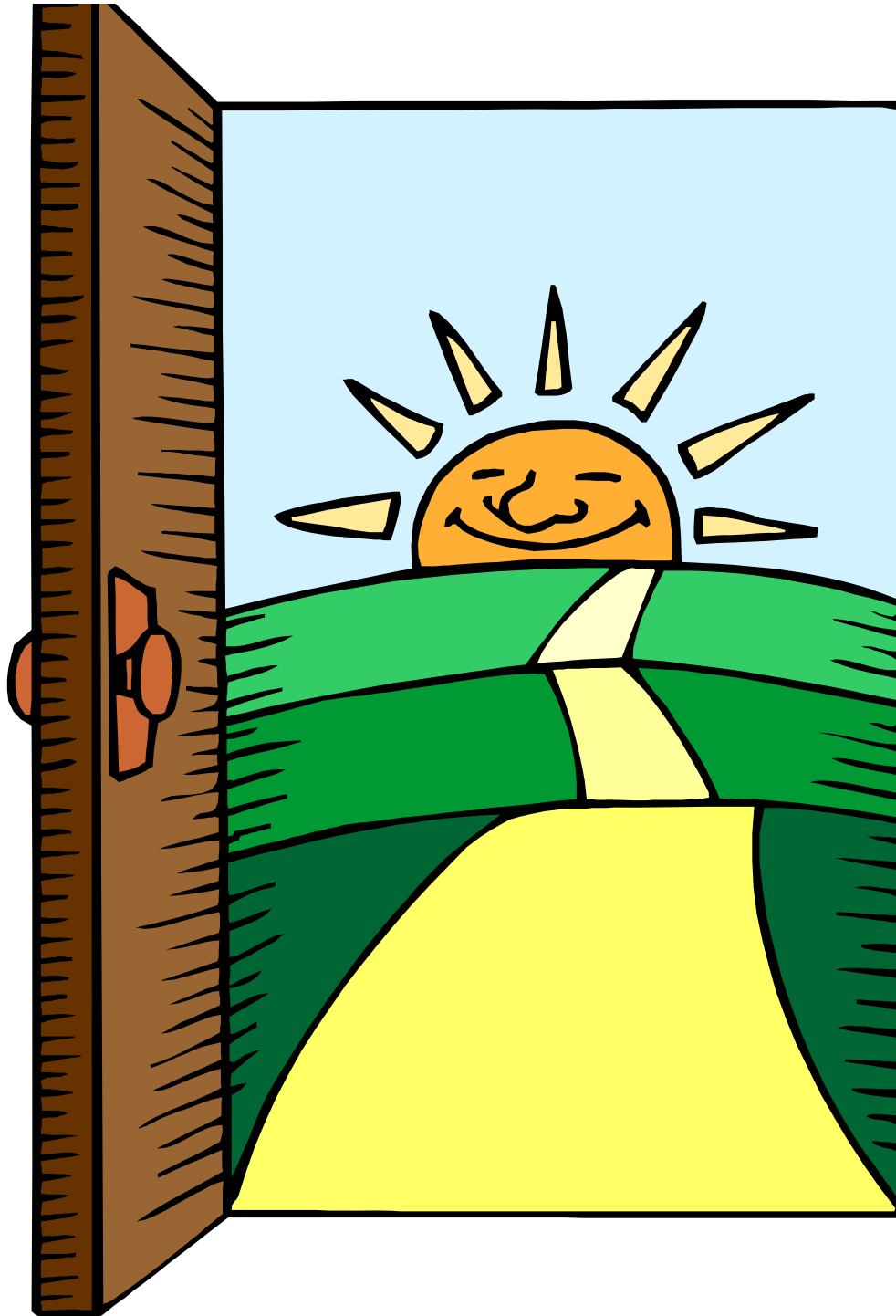


Computing Devices <\$5K

Positive

- Allowable as supplies cost for devices that are essential and allocable, but not solely dedicated to the performance of the Federal award





Comments Due by
11:00 p.m. on June
2, 2013

Submit comments to:

["regulations.gov"](https://www.regulations.gov)

under Docket
Number OMB-
2013-0001

Division of Research
Questions

Questions?