

Memorandum

To: University Research Community

From: Roberto M. Gutierrez
Assistant Vice President for Research
Office of Research and Economic Development

Subj.: Issuance of NSF Proposal and Award Policies and Procedures Guide (NSF 22-1)

Date: June 23, 2021

Please see the attached message from our colleagues at the National Science Foundation (NSF) regarding the revised version of the NSF Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 22-1) that will become effective for proposals submitted or due on or after October 4, 2021.

The attached message contains significant updates, however one that we would like to highlight is the creation of a table that summarizes NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support forms.

The new NSF created table (attached) identifies where current and pending support disclosure information must be provided to the NSF, both at pre-award and post award stages, including disclosures that must be provided in proposals as well as in project progress reports. Please note that certain activities that the NSF indicates do not need to be disclosed to them still need to be disclosed to the university via the Report of Outside Activities/Conflict of Interest portal to comply with State of Florida reporting requirements.

As a reminder, current and pending support information is used by the NSF to assess the capacity of the individuals to carry out the research as proposed, as well as to help assess any potential overlap/duplication with the project being proposed or awarded.

The NSF has indicated that proposers and awardees may begin using this new resource immediately to assist with completing the relevant proposal and project progress report sections, therefore we encourage all NSF proposers and awardees to refer to this new tool.

The revised version of the NSF PAPPG is available for download at https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf22001&org=NSF.

If there are any questions regarding these updates from the NSF then please feel free to contact your ORED representative (<http://research.fiu.edu/ored/staff-directory/>) for further assistance.

From: [NSF ERA Forum](#)
To: [NSF ERA Forum](#)
Subject: Issuance of NSF Proposal and Award Policies and Procedures Guide (NSF 22-1)
Date: Wednesday, June 23, 2021 10:05:43 AM

**Note: This message originated from outside the FIU
Faculty/Staff email system.**

Dear Colleagues:

We are pleased to announce that a revised version of the NSF [Proposal & Award Policies & Procedures Guide](#) (PAPPG) (NSF 22-1) has been issued.

The new PAPPG will be effective for **proposals submitted or due on or after October 4, 2021**. Significant changes include:

- A new section covering requests for reasonable and accessibility accommodations regarding the proposal process or requests for accessibility accommodations to access NSF's electronic systems, websites and other digital content;
- A table entitled, [NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support](#). This table identifies where pre- and post-award current and pending support disclosure information must be provided. Proposers and awardees may begin using this table immediately;
- Increasing the page limit for the biographical sketch from two to three pages;
- Updates to the current and pending support section of NSF proposals to require that information on objectives and overlap with other projects is provided to help NSF and reviewers assess overlap/duplication;
- Adding planning proposals and Career-Life Balance supplemental funding requests as new proposal types;
- Updates to travel proposals will require that AORs certify that prior to the proposer's participation in the meeting for which NSF travel support is being requested, the proposer will assure that the meeting organizer has a written policy or code-of-conduct addressing harassment.

You are encouraged to review the by-chapter summary of changes provided in the Introduction section of the PAPPG.

NSF plans to conduct a webinar covering these changes. Visit the [NSF policy outreach website](#) to sign up for notifications about this and other outreach events.

While this version of the PAPPG becomes effective on October 4, 2021, in the interim, the guidelines contained in the current PAPPG (NSF 20-1) continue to apply.

If you have any questions regarding these changes, please contact the DIAS/Policy Office

at policy@nsf.gov.

Regards,

Jean Feldman
Head, Policy Office
Division of Institution and Award Support
Office of Budget, Finance & Award Management

**NSF Pre-award and Post-award Disclosures^{1/2/3}
Relating to the Biographical Sketch and Current and Pending Support**

Table Key

* = for new support only

◆ = If undisclosed at the time of proposal submission

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year	✓					
Academic, professional ⁴ or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	✓					
All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or <i>directly</i> to the individual		✓		✓*	✓◆	
In-kind contributions that support the research activity for use on the project/proposal being proposed			✓			

¹ A comprehensive listing of post-award notifications and requests for prior approval is contained in the general conditions incorporated by reference into each NSF award.

² This table does not address disclosures submitted regarding Collaborators and Other Affiliations that are used to manage reviewer selection.

³ This table does not address disclosures to grantees of financial conflicts of interest under PAPPG Chapter IX.A.

⁴ Senior personnel must identify all current domestic or foreign professional appointments outside of the individual's academic, professional, or institutional appointments at the proposing organization.

**NSF Pre-award and Post-award Disclosures
Relating to the Biographical Sketch and Current and Pending Support**

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
In-kind contributions not intended for use on the project/proposal being proposed to NSF and have an associated time commitment		✓		✓*	✓◆	
Consulting that is permitted by an individual's appointment and consistent with the proposing organization's "Outside Activities" policies and procedures						✓
Consulting that falls outside of an individual's appointment		✓		✓*	✓◆	
Travel supported/paid by an external entity to attend a conference or workshop						✓
Travel supported/paid by an external entity to perform research activities with an associated time commitment		✓		✓*	✓◆	
Honoraria						✓
Teaching commitments						✓
Startup company based on organization-licensed Intellectual Property (IP)						✓
Startup company based on non-organization-licensed IP		✓		✓*	✓◆	
Unrestricted Gifts						✓
Visiting scholars in labs						✓
Mentoring as part of appointment						✓
Graduate and postdoctoral researchers time on project						✓
Academic Year Salary						✓