

Memorandum

To: University Research Community

From: Roberto M. Gutierrez
Associate Vice President for Research
Office of Research and Economic Development

Subj.: Issuance of NSF Proposal and Award Policies and Procedures Guide (NSF 23-1)

Date: November 4, 2022

Please see the attached message from the Head of the Policy Office at the NSF related to upcoming changes to the NSF's Proposal & Award Policies & Procedures Guide (PAPPG) which will go into effect for proposals submitted or due on or after January 30, 2023.

Some key changes to highlight include:

- Revisions to incorporate Research.gov as the replacement for FastLane for proposal preparation and submission.
- Compliance by all Senior Personnel with new individual certification requirements on Current and Pending Support and Biosketch Forms.
- Verification that all undergraduate students, graduate students, postdoctoral researchers, faculty, and other senior personnel supported by NSF to conduct research have received training in the Responsible and Ethical Conduct of Research (RECR).
- Compliance with NSF's Research Security Initiatives, including that PIs and co-PIs on active NSF awards must indicate if there has been a change in active other support since submission of the proposal, or the last reporting period in their annual and final project report(s).
- Requirement that organizations have a plan in place for safe and inclusive research for any proposal that proposes to conduct off-campus or off-site research. The plan must be disseminated to individuals participating in the off-campus or off-site research prior to their departure.

Given that the changes and updates this year to the PAPPG are substantive, we encourage the University Research Community to attend the Revisions to the Proposal and Award Policies and Procedures Guide (PAPPG) (NSF 23-1) session on Thursday, November 17, 2022 from 3:00 – 4:00 PM that will be provided by the NSF as part of the Fall 2022 NSF Virtual Grants Conference.

There are other sessions in this year's NSF Virtual Grants Conference that will be of interest, therefore please visit <https://nspfpolicyoutreach.com/22-grants-conference/> to register. There is no cost to attend this virtual event.

ORED will provide further details as we work to implement the various compliance mandates associated with these NSF PAPPG updates.

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senior personnel as well as requires specific training mandated by the America COMPETES Act, as amended.

- Use of Concept Outlines as a submission type and the Program Suitability and Proposal Concept Tool (ProSPCT).
- Requirement for proposers to provide a certification regarding Safe and Inclusive Working Environments for Off-Campus and Off-Site Research.
- Revisions to the Biographical Sketch and Current and Pending Support formats to include certifications from the individual (as required by the 2021 National Defense Authorization Act, Section 223) regarding information being accurate, current, and complete.
- Requirement for NSF program officers to request updated Current and Pending Support information prior to making a funding recommendation, in accordance with the NSPM-33 Implementation Guidance.
- Requirements for use of SciENCv for the Biographical Sketch and Current and Pending Support documents.
- Implementation of Build America, Buy America statutes.
- New sections on Research Security and Scientific Integrity.

You are encouraged to review the by-chapter summary of changes provided in the Introduction section of the PAPPG.

NSF will present information about these changes at the upcoming NSF Grants Conference as part of the Proposal and Award Policy Update session on November 17th. Additional information and no-cost registration are available at: <https://nsfpolicyoutreach.com/22-grants-conference/>.

While this version of the PAPPG becomes effective on January 30, 2023, in the interim, the guidelines contained in the current [PAPPG \(NSF 22-1\)](#) continue to apply.

If you have any questions regarding these changes, please contact the DIAS/Policy Office at policy@nsf.gov.

Regards,

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