Memorandum

To: University Research Community

From: Roberto M. Gutierrez
Associate Vice President for Research
Office of Research and Economic Development

Subj.: Issuance of NSF Proposal and Award Policies and Procedures Guide (NSF 24-1)

Date: April 10, 2024

The latest iteration of the NSF's Proposal & Award Policies & Procedures Guide (PAPPG) will go into effect for proposals submitted or due on or after May 20th, 2024.

The NSF has put together a detailed list of changes that will impact proposals and awards under NSF 24-1 at https://new.nsf.gov/policies/pappg/24-1/summary-changes. There is also an informative webinar available for viewing at https://nsfpolicyoutreach.com/2024-pappg-webinar/ that provides detailed insight into all of the upcoming changes.

Some key changes in the NSF 24-1 PAPPG that ORED would like highlight include:

- Chapter I.E, 3(b) - Parties to Malign Foreign Talent Recruitment Programs, has been modified to incorporate a new section establishing that individuals who are a party to a Malign Foreign Talent Recruitment Program are not eligible to serve as a senior/key person on an NSF proposal.

- Chapter II.C.2, Proposal Font, Spacing, and Margin Requirements, has been modified to allow for submission of proposal documents in landscape format.

- Chapter II.D.1.e(ii), Malign Foreign Talent Recruitment Programs, is a new section that addresses Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232), requirements regarding malign foreign talent recruitment programs. As previously communicated by ORED, the ePRAF Certification Statement for individuals identified as PI and senior/key personnel has been updated to indicate that all PIs and senior/key personnel have been made aware of and have complied with their responsibility under the CHIPS and Science Act of 2022 that the individual is not a party to a malign foreign talent recruitment program and that they further agree to certify annually to the sponsor, for the duration of the award, that they are not part of a malign foreign talent recruitment program.

- Chapter II.D.2.h(i), Biographical Sketch(es), this section has been revised to remove the 3-page limitation for the biographical sketch. There is no page limitation for this section of the proposal. The Synergistic Activities section has been removed from the biographical sketch. This information must now be submitted by individuals designated as senior/key persons as part of the senior/key personnel documents in Research.gov.

- Chapter II.D.2.h(ii), Current and Pending (Other) Support, must be prepared using SciENcv. Individuals must disclose participation in foreign government-sponsored talent recruitment programs, as well as any other foreign-government sponsored or affiliated activities. Consulting activities must be disclosed when any of the following apply:
  - The consulting activity will require the senior/key person to perform research as part of the consulting activity;
  - The consulting activity does not involve performing research, but is related to the senior/key person's research portfolio and may have the ability to impact funding, alter time or effort commitments, or otherwise impact scientific integrity; or
  - The consulting entity has provided a contract that requires the senior/key person to conceal or withhold confidential financial or other ties between the senior/key person and the entity, irrespective of the duration of the engagement.

- Chapter II.D.2.i(i), Mentoring Plan, has been expanded to address the revision to Section 7008(a) of the America COMPETES Act of 2022 (42 U.S.C. § 1862o(a)) requiring a mentoring plan for postdoctoral researchers or graduate students supported on the project. The page limitation for the Mentoring Plan remains at one page.

- Chapter VII.B.6, Post Award Addition of Off-Site or Off-Campus Research, is a new section to address post award requirements associated with the required plan for safe and inclusive working environments. As previously communicated by ORED, a project specific plan is required to be in place for each NSF project engaging in any off-campus or off-site research activities to address safe and inclusive working environments. An executed copy of said plan needs to be provided to ORED.

- Chapter VII.B.7, Individual Development Plans (IDPs) for Postdoctoral Scholars or Graduate Students, implements Section 10313 (42 U.S.C. § 18993) of the CHIPS and Science Act of 2022 requirement for postdoctoral scholars or graduate students who receive substantial NSF support must have an Individual Development Plan which is required to be updated annually.
  - Graduate students and postdocs who receive substantial NSF support (one person month or more during the annual reporting period) must have an individual development plan.
  - IDP is required to be updated annually.
  - PI must certify in the annual and final progress report that graduate students and postdocs have IDPs that map educational goals, career exploration, and professional development.

- Chapter VII.D.1(iv), Reporting Requirements, In submission of each annual and final annual report, the PI or co-PI is certifying that the statements provided (excluding scientific hypotheses and scientific opinions) are true and complete, and the text and graphics in the report as well as any accompanying publications or other documents, unless otherwise indicated, are the original work of the signatories or individuals working under their supervision; and that each postdoctoral scholar and graduate receiving substantial support from the award has an individual development plan that maps educational goals, career exploration, and professional development.

If you have any questions about these updates from the NSF then please feel free to reach out to your ORED representative (http://research.fiu.edu/ored/staff-directory/) for further assistance.